

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket Number

047763-5017

U.S. Application No.

Unassigned

Priority Date Claimed

April 16, 1998

International Application. No.

International Filing Date

PCT/AU99/00285

April 16, 1999

Title of Invention

PRODUCTION OF FURANONES

Applicants For DO/EO/US

Roger READ and Naresh KUMAR

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ Other items or information:
- ☒ [a]. WIPO publication WO 99/54323 first page including abstract and remaining pages including substitution sheets for page 2 of the description and pages 25-34 containing claims, which substitute sheets were submitted under Article 34, on December 12, 1999

APPLICATION NO. **41673305**  
Unassigned

INTERNATIONAL APPLICATION NO. **PCT/AU99/0285**

529 Rec **CT/PTO 16 OCT 2000**  
ATTORNEY'S NEW DOCKET NUMBER  
1047763-3017

[X] The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....\$860.00  
International preliminary examination fee paid to  
USPTO (37 CFR 1.482).....\$690.00  
No international preliminary examination fee paid to  
USPTO (37 CFR 1.482) but international search fee  
paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  
Neither international preliminary examination fee  
(37 CFR 1.482) nor international search fee  
(37 CFR 1.445(a)(2)) paid to USPTO.....\$1000.00  
International preliminary examination fee paid to USPTO  
(37 CFR 1.482) and all claims satisfied provisions  
of PCT Article 33(2)-(4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 1000.00

Charge of \$130.00 for furnishing the oath or declaration later than

[ ] 30 months from the earliest claimed priority date  
37 CFR 1.492(e)).

as	Number Filed	Number Extra	Rate	
Claims	48 - 20 =	28	X \$18.00	\$ 504.00
Independent Claim	7 - 3 =	4	X \$80.00	\$ 320.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$ 1824.00

Reduction by 1/2 for filing by small entity, if applicable. Verified

Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

SUBTOTAL = \$ 1824.00

Assessment fee of \$130.00 for furnishing the English translation later

[ ] 20 months from the earliest claimed priority date  
37 CFR 1.492(f)).

+\$

TOTAL NATIONAL FEE = \$ 1824.00

For recording the enclosed assignment (37 CFR 1.21(h)). The

Assignment must be accompanied by an appropriate cover sheet

37 CFR 3.28, 3.31). \$40.00 per property

+\$

TOTAL FEES ENCLOSED = \$ 1824.00

Amount to be

refunded \$

charged \$

[X] A check in the amount of \$1824.00 to cover the above fees is enclosed.

[ ] Please charge my Deposit Account No. 50-0310 in the amount of \$-0-  
to cover the above fees. A duplicate copy of this sheet is enclosed.

[X] Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to  
charge any additional fees during the entire pendency of this application including fees due under 37 CFR §1.16  
and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Serial No. 009629  
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